|   |   | PART B  | B - FEE(S)  | TRA  | NSMITTAL   |   |   |
|---|---|---|---|--|--|---|---|
| NUL 3   | his form, together wit  |   | or <u>F</u>   | <u>`ax</u>   | Mail Stop ISSUE<br>Commissioner for<br>P.O. Box 1450<br>Alexandria, Virg<br>(703) 746-4000   | r Patents<br>inia 22313-1450  | \$  |
| INSTRUCTIONS. This for appropriate. All furtile con indicated unless corrected maintenance fee notification | m should by used for tran<br>respondence including the<br>fellow or directed otherwise<br>is. | smitting the ISSU<br>Patent, advance on<br>in Block 1, by (a    | JE FEE and Prders and notif<br>a) specifying a                            | UBLIC<br>fication<br>new co                                | ATION FEE (if required of maintenance fees worrespondence address;   | ired). Blocks 1 through 5 s<br>vill be mailed to the current<br>and/or (b) indicating a sepa  | hould be completed where<br>correspondence address as<br>arate "FEE ADDRESS" for                                  |
|   | E ADDRESS (Note: Use Block 1 for 590 03/10/2005   | any change of address)  |   |  | Fee(s) Transmittal. The papers. Each additional  | mailing can only be used fi<br>is certificate cannot be used<br>il paper, such as an assignme<br>of mailing or transmission.  | for any other accompanying  |
| Eric W. Petraske<br>68 Old Hawleyville<br>Bethel, CT 06801  | Road  |   |   |  | Cer<br>I hereby certify that the<br>States Postal Service vaddressed to the Mai<br>transmitted to the USP  | tificate of Mailing or Transis Fee(s) Transmittal is bein with sufficient postage for fit I Stop ISSUE FEE address TO (703) 746-4000, on the control of the | smission g deposited with the United st class mail in an envelope above, or being facsimile date indicated below. |
| 06/21/2005 YPOLITE2 000   | 00075 500510 1073150  | 34  |   |  | Eric W.  | Petraske  | (Depositor's name)  |
| 01 FC:1501 1400.0   | O DA  |   |   |  | Sw   | Catrashe  | (Signature)   |
| 02 FC:1504 300.0  | O DA  |   |   |  | 6/1  | 3/2005  | (Date)  |
| APPLICATION NO.   | FILING DATE   |   | FIRST NAMED   | INVEN  | TOR  | ATTORNEY DOCKET NO.   | CONFIRMATION NO.  |
| 10/731,584  | 12/09/2003  | ·   | Jochen C.   | Beintne  | r  | YOR920030380US1   | 3887  |
| TITLE OF INVENTION: SI  | ELFALIGNED SOURCE/D   | RAIN FINFET PR  | OCESS FLOV  | v  |  | ·   |   |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE F   | EE  | PU   | BLICATION FEE  | TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional  | NO  | \$1400  | 0   |  | \$300  | \$1700  | 06/10/2005  |
| EXAM  | INER  | ART UN  | IIT   | CL   | ASS-SUBCLASS   |   |   |
| DANG, T   | RUNG Q  | 2823  |   |  | 438-157000   |   |   |
| Address form PTO/SB/12  "Fee Address" indicate  | ence address (or Change of  | Correspondence  | (1) the nam<br>or agents O<br>(2) the nam<br>registered a<br>2 registered | nes of u<br>OR, alter<br>ne of a s<br>attorney<br>d patent | he patent front page, li<br>p to 3 registered pater<br>natively,<br>ingle firm (having as a<br>or agent) and the nam<br>attorneys or agents. If<br>I be printed. | nt attorneys  1 Life  1 member a es of up to  | W. Petraske   |
| 3. ASSIGNEE NAME AND  | RESIDENCE DATA TO B   | E PRINTED ON T  | THE PATENT  | (print o   | r type)  | · - · <del>-</del> · - · - ·  | <del></del>   |
|   |   |   |   |  |  | ee is identified below, the d   | locument has been filed for   |
| (A) NAME OF ASSIGN  | · · · · · · · · · · · · · · · · · · ·   | · · · ``ı   | ´ 1   | ` .  | Y and STATE OR COL   | · 1   |   |
|   | siness Machines C   | •   |   |  | k, New York 1  |   | _   |
| Please check the appropriate  |   |   |   |  | Individual Co  | orporation or other private gr  | oup entity Government   |
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| ,   | Copies  | •   |   |  |  | harge the required fee(s), or (enclose an extra c   | credit any overpayment, to  |
| 5. Change in Entity Status  | (from status indicated above  | :)  | Deposit Acco  | unt Nui  | 110er _ <u> </u>   | (enclose an extra c   | opy of this form).  |
|   | MALL ENTITY status. See   |   |   |  |  | LL ENTITY status. See 37 C  |   |
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| Authorized Signature  | Cay W 6   | etrashe   | ·   |  | Date   | 6/13/05<br>No. 28,459   |   |
| Typed or printed name   | Exic W. P.  | etrask  | Ke  |  | Registration   | No. 28,459  | <b>y</b>  |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PAPER NUMBER

|                  | 18          |                      |                     |                  |
|------------------|-------------|----------------------|---------------------|------------------|
| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/731,584       | 12/09/2003  | Jochen C. Beintner   | YOR920030380US1     | 3887             |
| 7590 03/10/2005  |             |                      | EXAMINER            |                  |
| Eric W. Petraske |             |                      | DANG, T             | RUNG Q           |

68 Old Hawleyville Road Bethel, CT 06801

2823 DATE MAILED: 03/10/2005

ART UNIT

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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Eric W. Petraske 68 Old Hawleyville Road Bethel, CT 06801 EXAMINER

DANG, TRUNG Q

ART UNIT PAPER NUMBER

2823

DATE MAILED: 03/10/2005

# 5035

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/731.584      | 12/09/2003  | Jochen C. Beintner   | YOR920030380US1     | 3887             |

TITLE OF INVENTION: SELFALIGNED SOURCE/DRAIN FINFET PROCESS FLOW

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1400    | \$300           | \$1700           | 06/10/2005 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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